

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 07-2004)

ATTORNEY'S DOCKET NUMBER

5468-102US//P28047-A-USA

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/517753**

INTERNATIONAL APPLICATION NO.  
**PCT/US03/019489**

INTERNATIONAL FILING DATE  
**19 June 2003**

PRIORITY DATE CLAIMED  
**19 June 2002**

**TITLE OF INVENTION**

**System and Method for the Creation and Management of Electronic Documents, Complete with Hierarchical and Linear Navigational Elements (as amended)**

**APPLICANT(S) FOR DO/EO/US**

**ANDREWS, Richard L.; DEFILIPPIS, John M.; MURPHY, Daniel S.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☒ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 515 411 584 US**
23. ☒ Other items or information:

**Acknowledgement Post Card; Express Mail Certificate**



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EXPRESS MAIL NUMBER EV 515 411 584 US  
FILE NUMBER 5468-102US//P28047-A-USA

"EXPRESS MAIL CERTIFICATE"

"Express Mail" Mailing Label Number: EV 515 411 584 US

Express Mail Corporate Account Number: X085783

Date of Deposit: 12/13/04

Title: SYSTEM AND METHOD FOR THE CREATION AND MANAGEMENT OF  
ELECTRONIC DOCUMENTS, COMPLETE WITH HIERARCHICAL AND LINEAR  
NAVIGATIONAL ELEMENTS (as amended)

Inventors: Richard L. Andrews, II  
John M. DeFilippis  
Daniel S. Murphy

Type of Documents:

1. Transmittal Letter to the US Designated/Elected Office – 2 pages
2. USPTO Form 2038 – Credit Card Authorization – 1 page
3. Preliminary Amendment – 9 pages
4. Recordation of Assignment and Executed Assignment – 3 pages
5. USPTO Form 2038 – Credit Card Authorization – 1 page
6. Executed Declaration and Power of Attorney – 4 pages
7. International Application as Published – 38 pages
8. Express Mail Certificate – 1 page
9. Acknowledgement Post Card – 1 page

I hereby certify that the enclosed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Patent and Trademark Office, Mail Stop PCT; Box 1450, Alexandria, VA 22313-1450

Thomas J. Onka, Esq.

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)